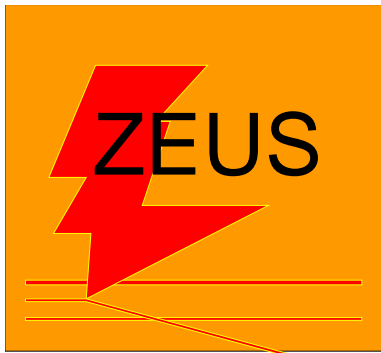


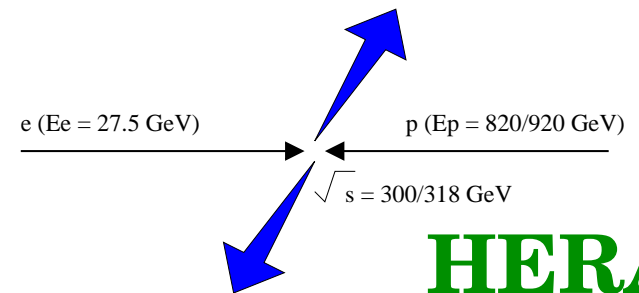
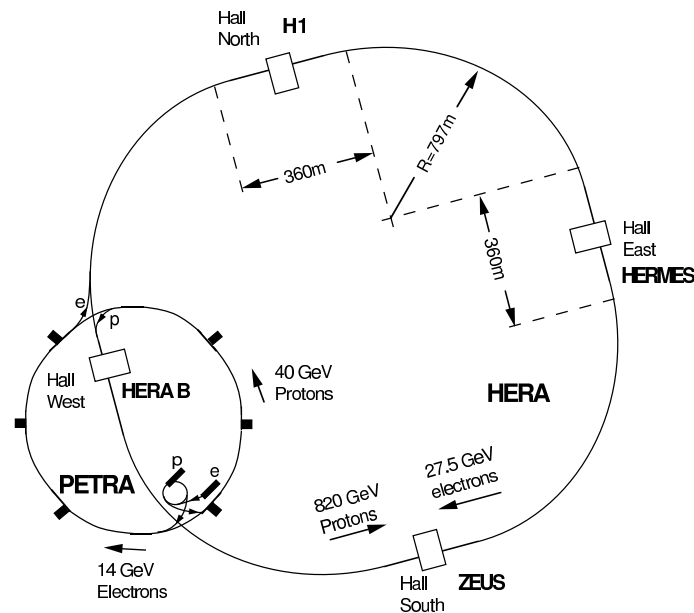
Review of HERA Jet Results

Claire Gwenlan
University of Oxford

International Symposium on Multi-Particle Dynamics
Krakow, 5-11 September 2003



On behalf of the
ZEUS and H1
Collaborations



Introduction

The HERA collider provides a unique laboratory for the study of the Hadronic Final State (HFS)

Study of jets in the hadronic final state allows ...

- Stringent tests of our understanding of QCD
 - Study sub-processes directly proportional to α_s or higher powers
 - Studies in regions of phase space where experimental and theoretical uncertainties are small
- Extraction of QCD parameters (if small theoretical uncertainties)
 - Fit the data with NLO QCD calculations \Rightarrow extract α_s
- Constraints on the proton and photon parton distribution functions
- Highlight areas that require further theoretical understanding

In this talk we will go from high $Q^2 \rightarrow$ low Q^2 ...

Kinematics of Lepton-Proton Scattering at HERA

HERA collides 27.5 GeV leptons with 820 (920) GeV protons
→ $\sqrt{s} = 300$ (318) GeV

- Negative four-momentum transfer squared:

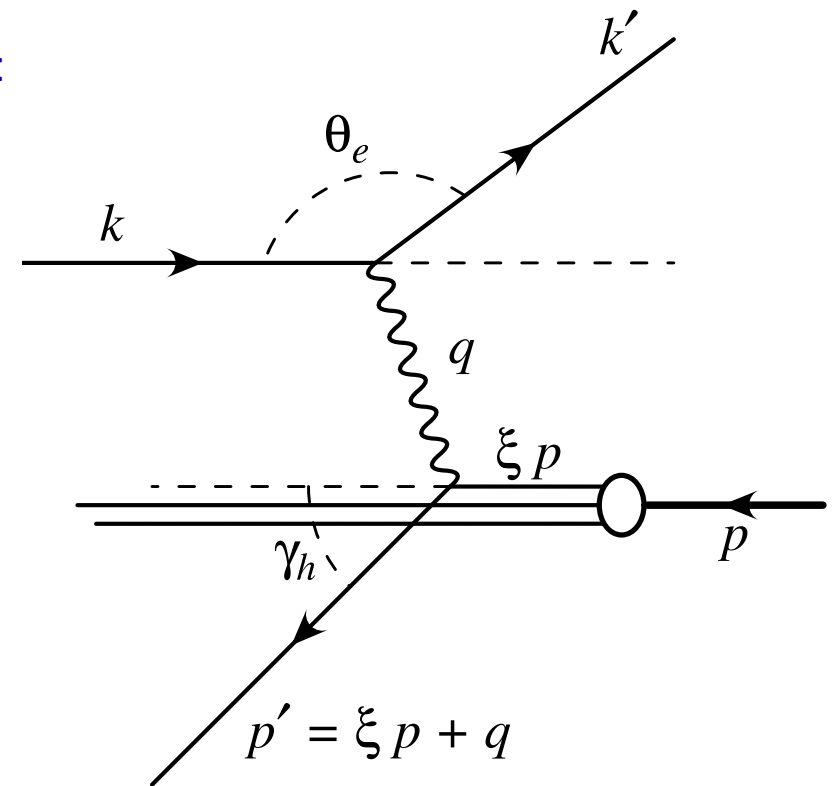
$$Q^2 = -q^2 = -(k - k')^2$$

- Bjorken scaling variable:

$$x \equiv \frac{Q^2}{2p \cdot q}$$

- Inelasticity:

$$y \equiv \frac{p \cdot q}{p \cdot k}$$

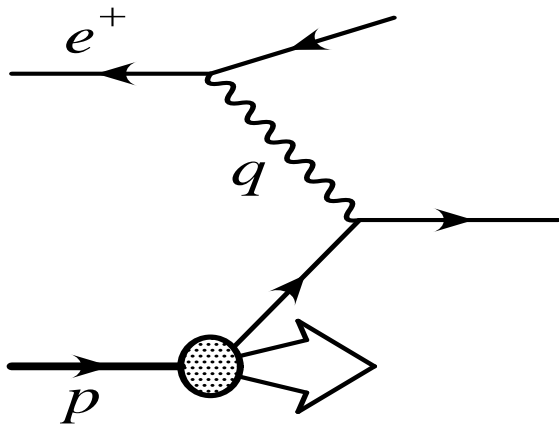


Only need two out of three variables since $Q^2 = sxy$

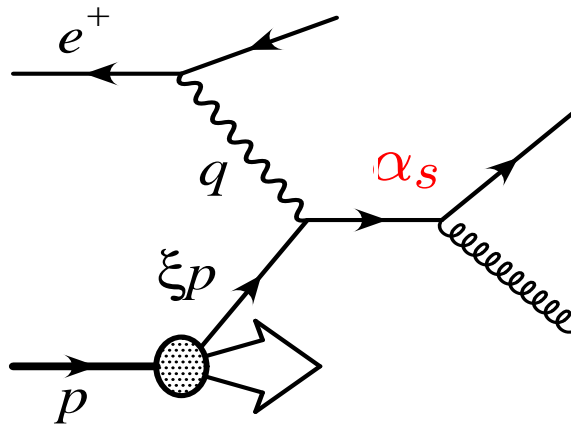
Jet Production in Deep Inelastic Scattering

- Jet production in Neutral Current DIS up to $\mathcal{O}(\alpha\alpha_s)$:
 $\rightarrow \gamma/Z^0$ propagator

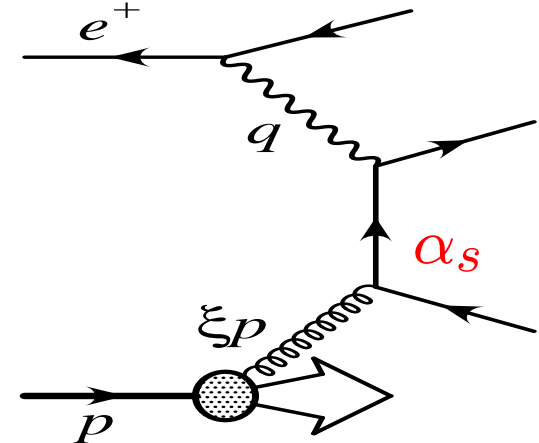
Quark-Parton Model



QCD Compton



Boson-Gluon fusion



- Jet production cross section:

$$d\sigma_{\text{jet}} = \sum_{a=q,\bar{q},g} \int dx f_a(x, \mu_F^2) d\hat{\sigma}_a(x, \alpha_s(\mu_R), \mu_R^2, \mu_F^2) \times (1 + \delta_{\text{had}})$$

— f_a : parton a density (long-distance, determined from experiment)

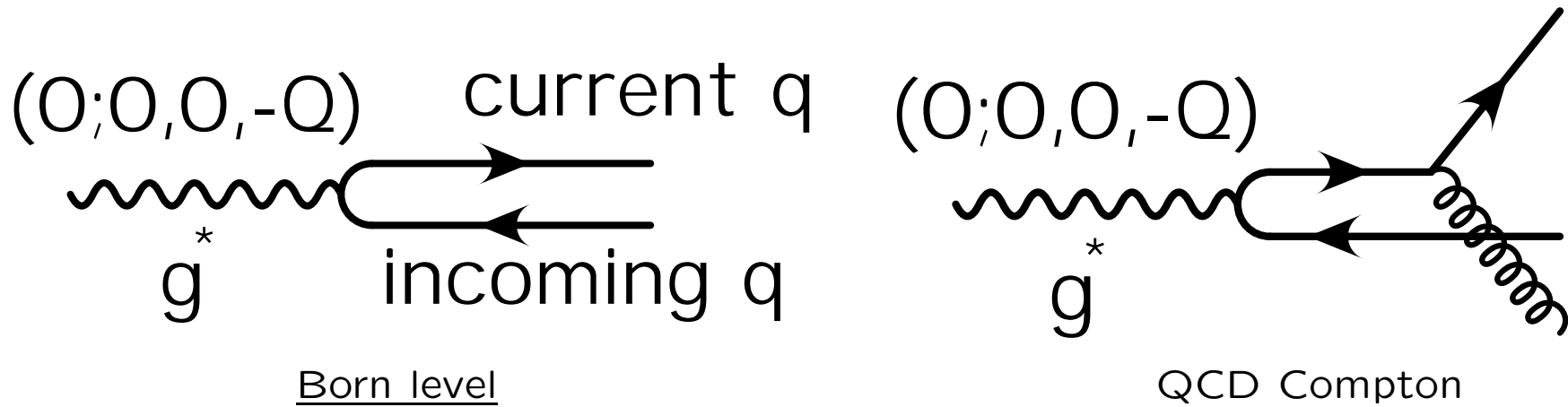
— $d\hat{\sigma}_a$: sub-process cross section (short-distance, calculable in pQCD)

Jet Production in Deep Inelastic Scattering

- In the region where DIS data from fixed target and collider experiments have allowed an accurate determination of proton PDFs measurements of jet production in NC DIS provide:
 - sensitive tests of the predictions of pQCD
 - determination of the strong coupling constant α_s
- To provide stringent tests of pQCD predictions and precise determinations of α_s
 - Need observables for which the predictions are directly proportional to α_s
 - Jet cross sections in the Breit frame (defined on next slide)
 - Small experimental uncertainties → jets with relatively high transverse energy
 - Small theoretical uncertainties
 - NLO QCD calculations (reduction of higher order contributions)
 - Jet algorithm: longitudinally invariant k_T clustering algorithm (Catini et al.)
 - Jet selection criteria (inclusive jet production has smaller uncertainties than for dijets)
- Exploration of the parton evolution at low x → BFKL effects?
- Exploration of the low Q^2 (transition) region → resolved virtual γ ?

Jet Production in the Breit Frame

Breit Frame: virtual boson collides head-on with struck quark from proton



- High- E_T jet production in the Breit frame:
 - suppresses Born contribution (struck quark has zero E_T)
 - suppresses contribution from beam-remnant jet (zero E_T)
 - lowest order (non-trivial) contributions from $\gamma^*g \rightarrow q\bar{q}$ and $\gamma^*q \rightarrow qg$
 - ⇒ directly sensitive to hard QCD sub-processes at $\mathcal{O}(\alpha\alpha_s)$ (and higher orders)

NLO QCD Calculations for Jets in NC DIS

Several NLO ($\mathcal{O}(\alpha\alpha_s^2)$) programs are available for calculating jet cross sections \rightarrow DISSENT, MEPJET, DISASTER++, NLOJET

- NLO corrections:
 - \rightarrow Virtual with internal particle loops
 - \rightarrow Real with a third parton in the final state
- Different methods used to calculate real corrections:
 - \rightarrow Phase space slicing method (MEPJET)
 - \rightarrow Subtraction method (DISSENT, DISASTER++, NLOJET)
- Jet production has two hard scales Q and E_T
 - \rightarrow Renormalisation and factorisation scales $\mu_R, \mu_F = Q$ or E_T
- Calculations at parton level \Rightarrow corrected for hadronisation effects
- Theoretical uncertainties:
 - \rightarrow Terms beyond NLO, usually estimated by varying μ_R by a factor of 2
 - \rightarrow Hadronisation corrections
 - \rightarrow Uncertainties in α_s and the proton parton distribution functions

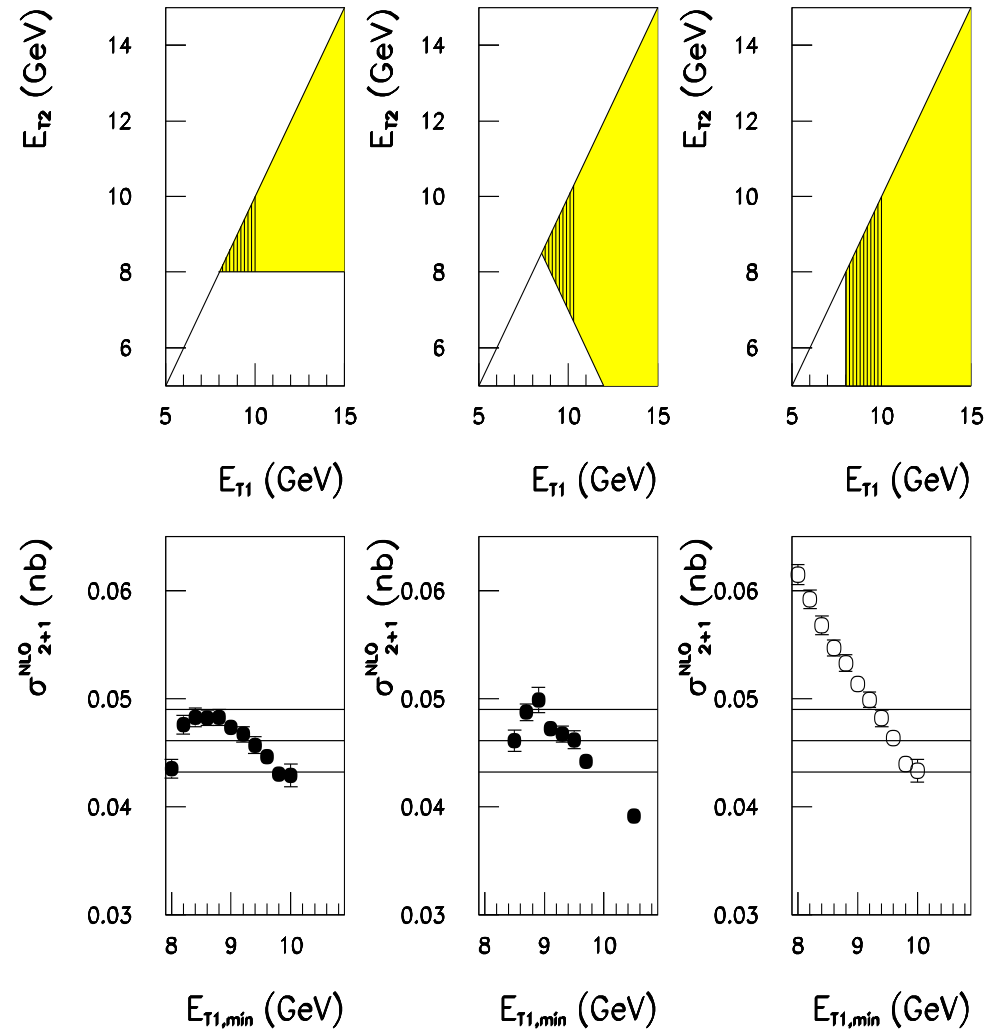
Infrared Sensitivity to Jet Selection in NLO Calculations

- Dijet Selection Criteria:
 - Symmetric cuts on $E_T^{\text{jet}1,2}$
DANGER! → unphysical dependence
 - Symmetric cuts on $E_T^{\text{jet}1,2}$
and cut on sum ($E_T^{\text{jet}1} + E_T^{\text{jet}2}$)
 - Asymmetric cuts on $E_T^{\text{jet}1,2}$

NLO calculations for dijet cross sections can be (infrared) sensitive to the selection criteria

This complication is absent for inclusive jet cross sections!

NLO QCD Dijet Cross Section ($\mu_R=Q$) $Q^2 > 470 \text{ GeV}^2$



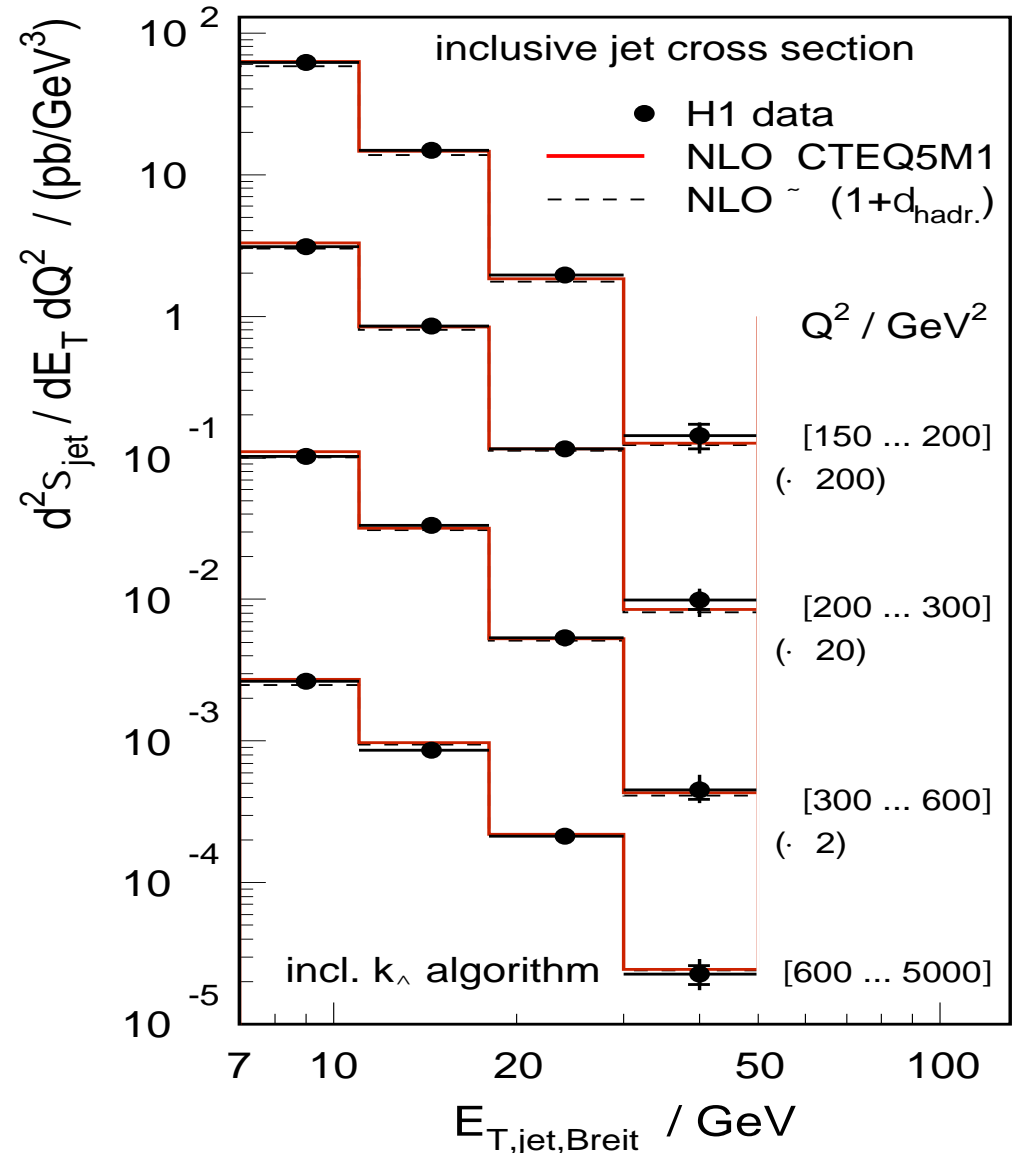
Inclusive Jet Production at High- E_T in NC DIS

Inclusive Jet Production in the Breit Frame (H1 Data)

[Eur. Phys. J. C19 (2001) 289]

- $150 < Q^2 < 5000 \text{ GeV}^2$
- $0.2 < y < 0.6$
- $E_T^{\text{Breit}} > 7 \text{ GeV}$
- $-1 < \eta^{\text{Lab}} < 2.5$

- NO requirement on second jet (can be unobserved)
 - infrared insensitive
 - smaller theoretical uncertainties than for dijet selection
- NLO QCD (DISENT) with CTEQ5M1 proton PDF gives good description over measured range of Q^2 and E_T



Inclusive Jet Production at High- E_T in NC DIS

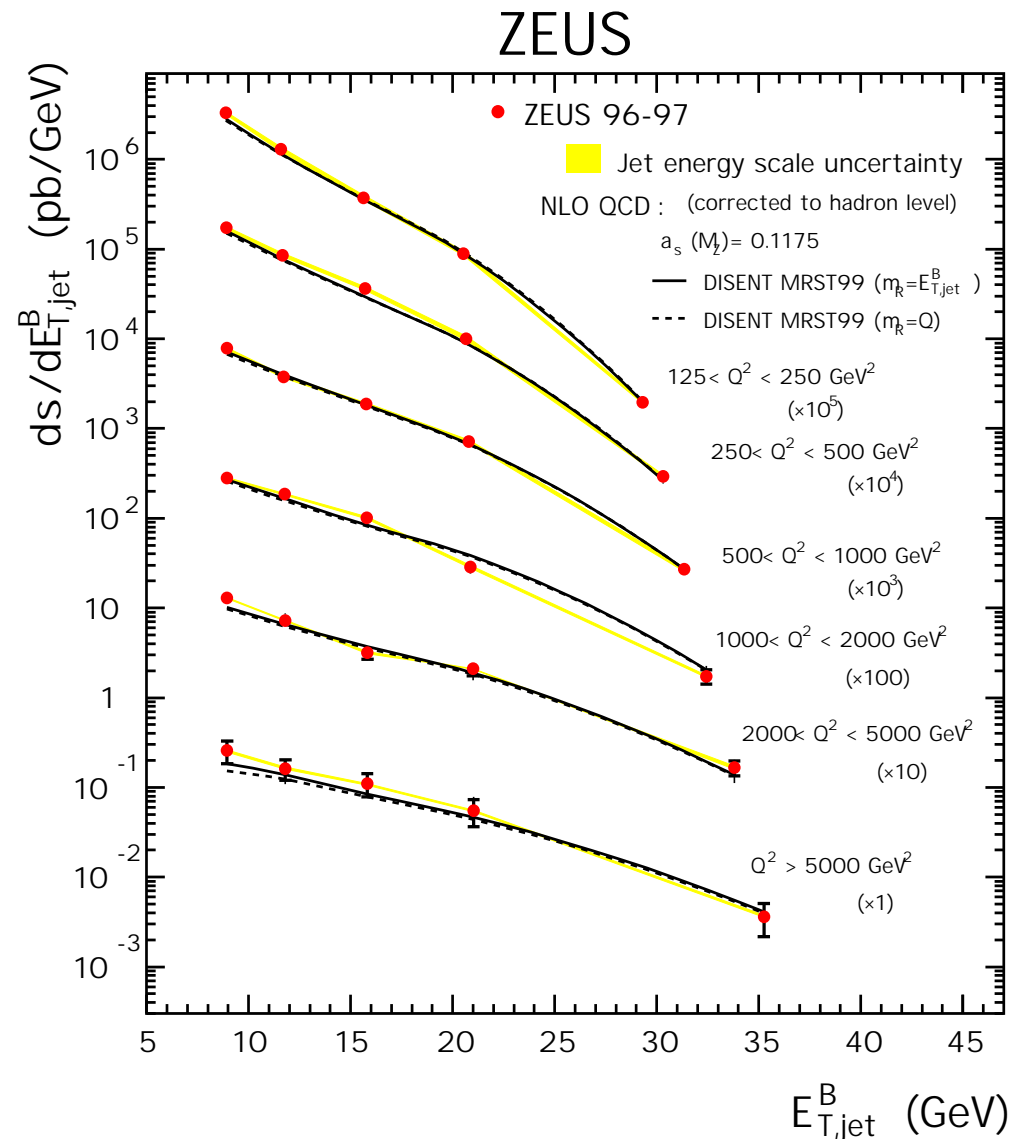
Inclusive Jet Production in the Breit Frame (ZEUS)

[Abs. 507, EPS03, Aachen, Germany]

- $Q^2 > 125 \text{ GeV}^2$
 - $E_T^{\text{Breit}} > 8 \text{ GeV}$
 - $-2 < \eta^{\text{Breit}} < 1.8$
- NO cuts applied in lab. frame

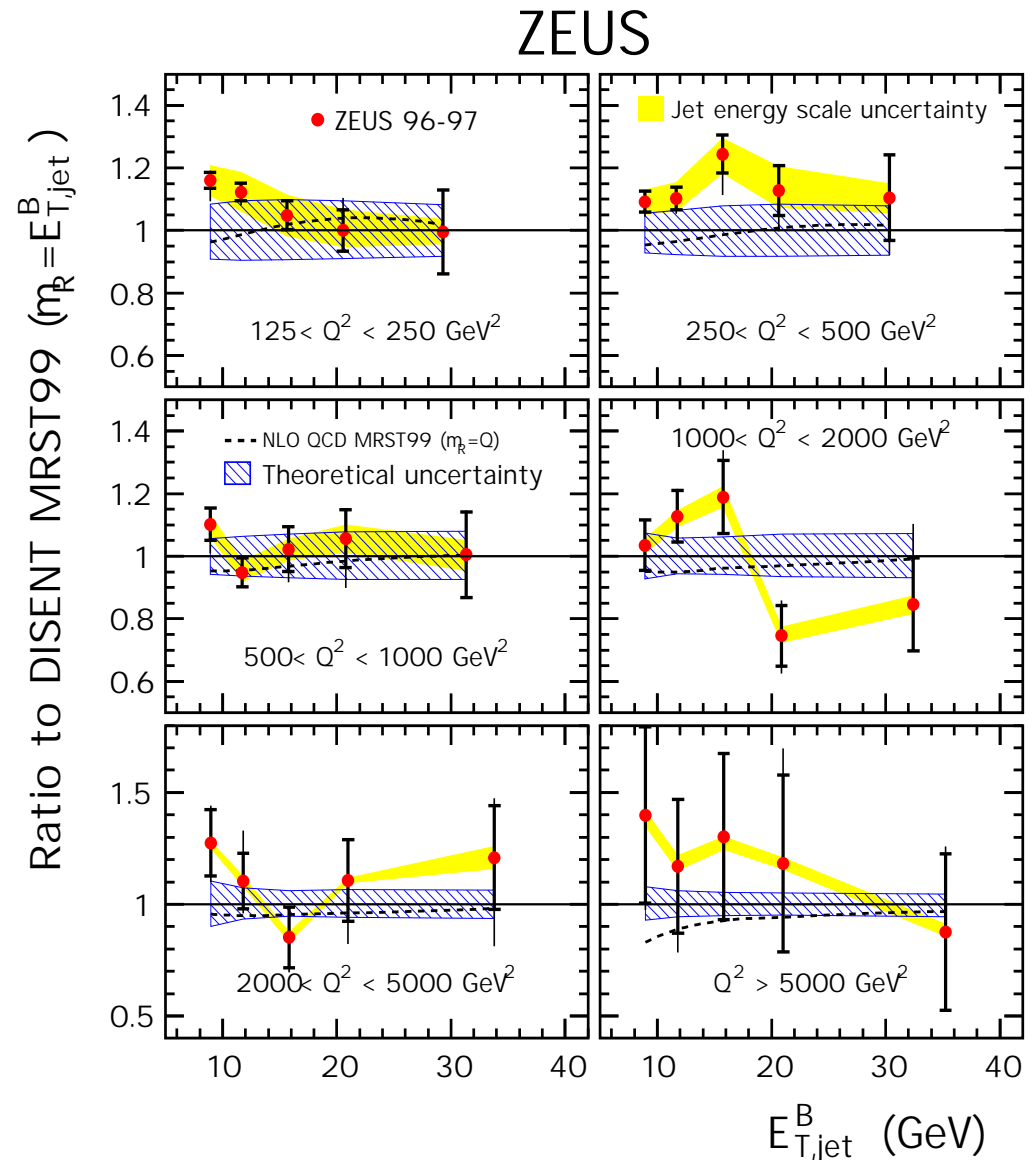
- NLO QCD (DISSENT) using MRST99 proton PDF gives reasonable description over wide range of Q^2 and E_T^{Breit}

Precision test of the description of the dynamics of inclusive jet production by pQCD at $\mathcal{O}(\alpha_s^2)$



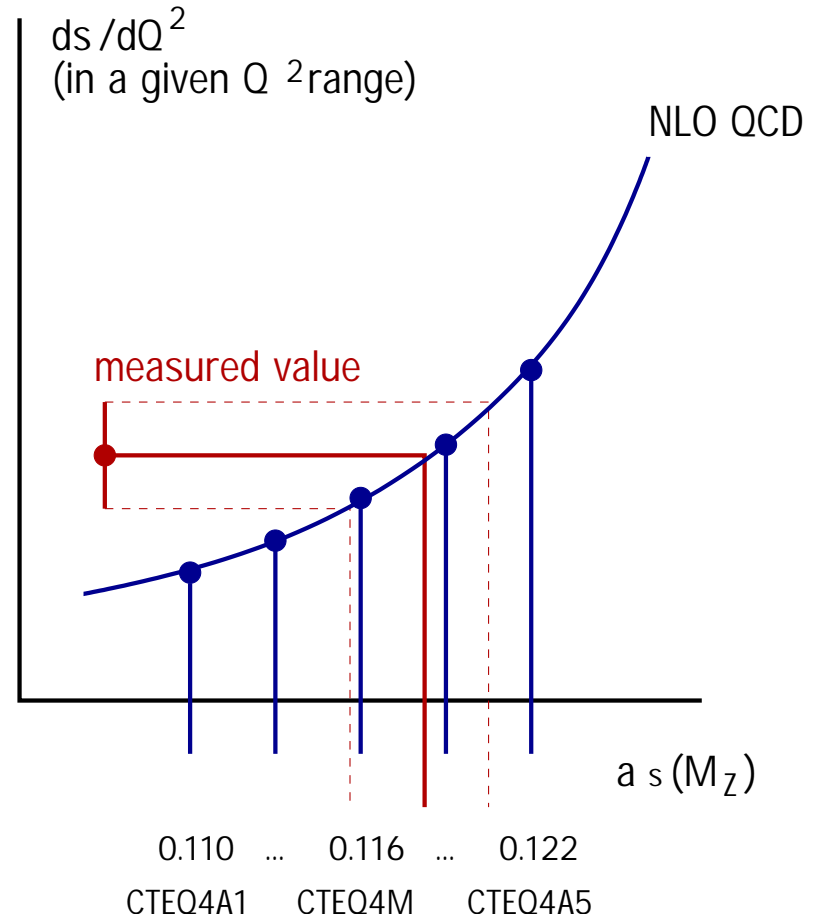
Inclusive Jet Production at High- E_T in NC DIS

- Small theoretical uncertainties:
 - high order terms (>NLO);
 $E_T^{\text{Breit}}/2 < \mu_R < 2E_T^{\text{Breit}} \Rightarrow \pm 5\%$
 - uncertainty on $\alpha_s(M_Z)$ (± 0.003)
 $\Rightarrow \pm 5\%$
 - uncertainties on the proton PDFs
 - experimental uncertainties $\Rightarrow \pm 3\%$
 - theoretical assumptions $\Rightarrow \pm 3\%$
 - hadronisation corrections $\Rightarrow < 1\%$
 (ARIADNE,LEPTO-MEPS,HERWIG)
- At lower Q^2 and low E_T^{Breit} data lie above NLO QCD
- Overall, agreement is reasonable within experimental and theoretical uncertainties
 - extraction of α_s is possible



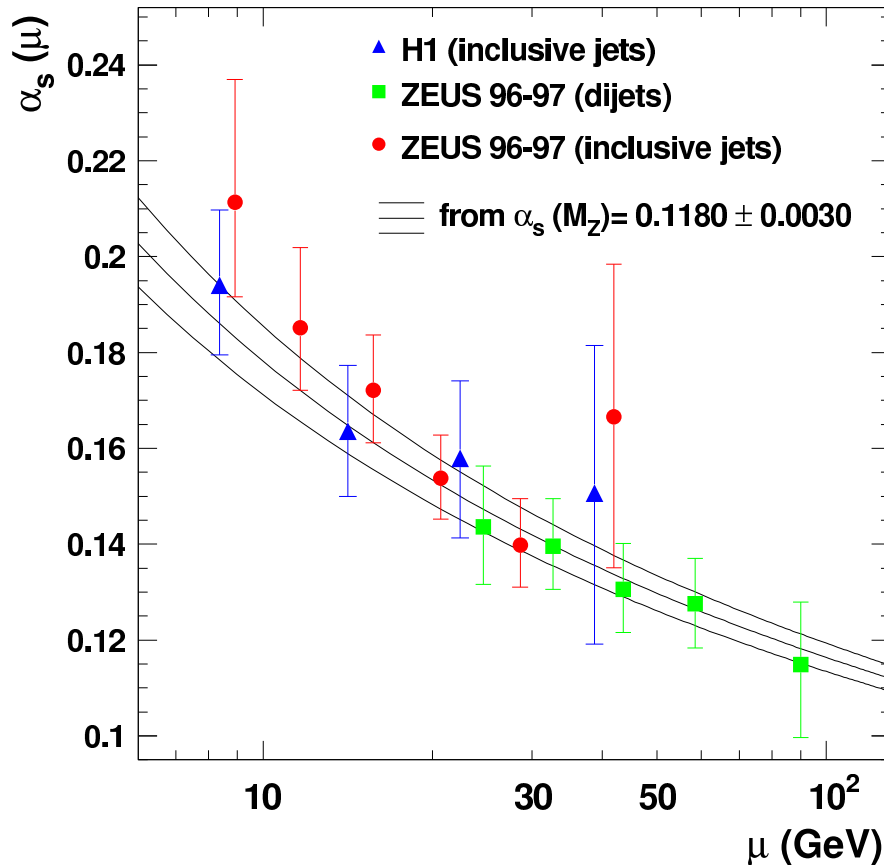
Extraction of the QCD Coupling α_s

- NLO QCD calculations of $d\sigma/dQ^2$ depend on $\alpha_s(M_Z)$ through:
 - matrix elements: $\hat{\sigma} \sim A.\alpha_s + B.\alpha_s^2$
 - proton PDFs: α_s assumed in evolution
- To take into account the **correlation**, the NLO QCD calculations are performed using various sets of proton PDFs which assume **different values of α_s**
- Resulting NLO QCD calculations are parameterised as a function of $\alpha_s(M_Z)$ in each region of Q^2 of the measurements
- From the measured value of $d\sigma/dQ^2$ in each region of Q^2 , the value $\alpha_s(M_Z)$ and its uncertainty are extracted



Extraction of the QCD Coupling α_s

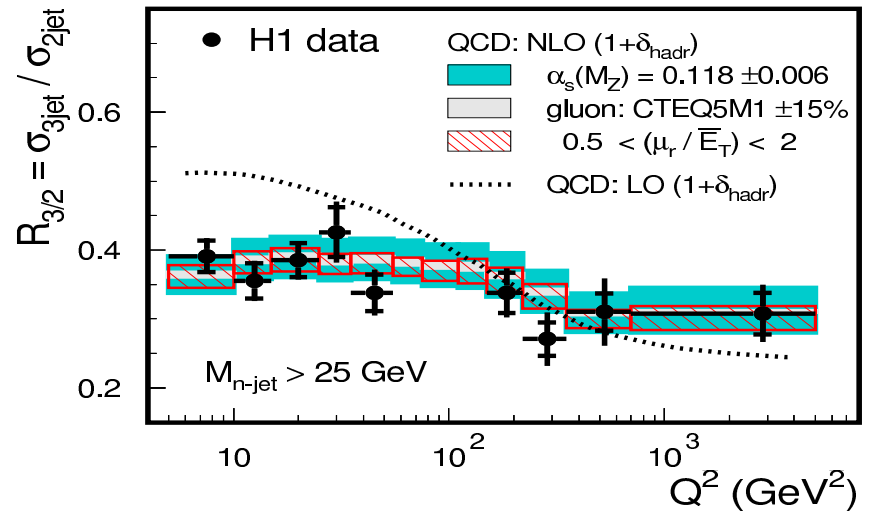
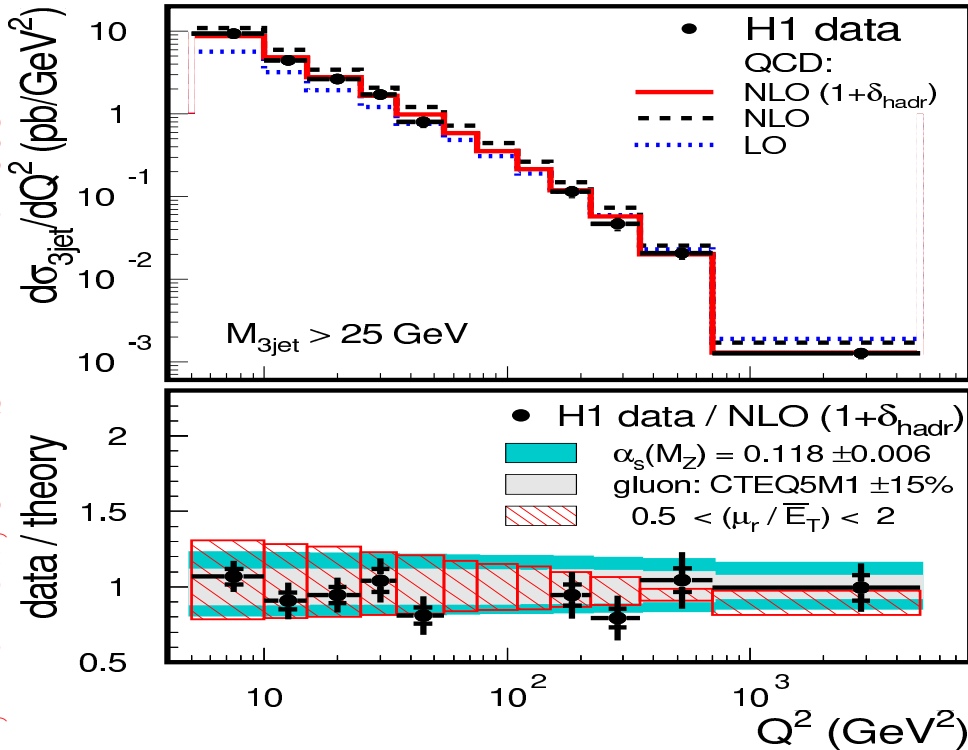
HERA: running of $\alpha_s(\mu)$



- Inclusive jet cross section in NC DIS used to extract α_s
 - H1 value ($150 < Q^2 < 5000 \text{ GeV}^2$):
 $\alpha_s(M_Z) = 0.1186 \pm 0.0030(\text{exp.})$
 $+0.0039$ (theory) $+0.0033$ (pdf)
 -0.0045 (theory) -0.0023 (pdf)
 - ZEUS value ($Q^2 > 125 \text{ GeV}^2$):
 $\alpha_s(M_Z) = 0.1212 \pm 0.0017(\text{exp.})$
 $+0.0023$ (theory) $+0.0028$ (pdf)
 -0.0031 (theory) -0.0027 (pdf)
- Dominant uncertainties from theory:
 - terms beyond NLO $\sim 3\%$
 - uncertainties in proton PDF $\sim 1\%$
 - hadronisation corrections $\sim 0.2\%$
- Consistent with the running of α_s predicted by pQCD

Very precise determination from HERA data with a value consistent with other determinations of α_s and with PDG value

Three-Jet Production in NC DIS



Three-Jet Production (H1 Data)

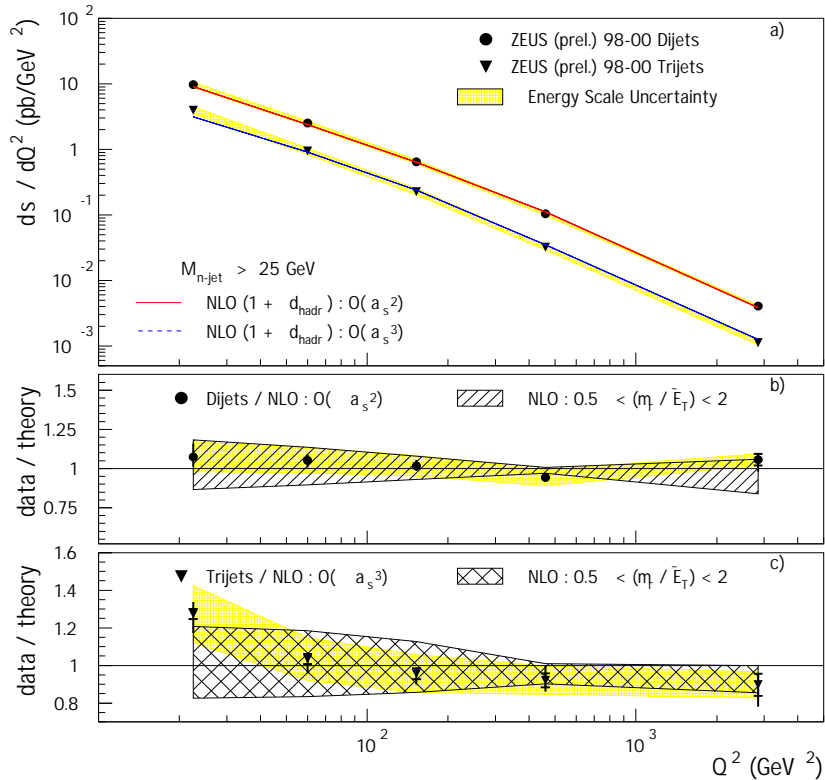
[Phys. Lett. B515 (2001) 17]

- $5 < Q^2 < 5000 \text{ GeV}^2$
- $E_T^{\text{Breit}} > 5 \text{ GeV}, -1 < \eta^{\text{Lab}} < 2.5, m_{3\text{jet}} > 25 \text{ GeV}$

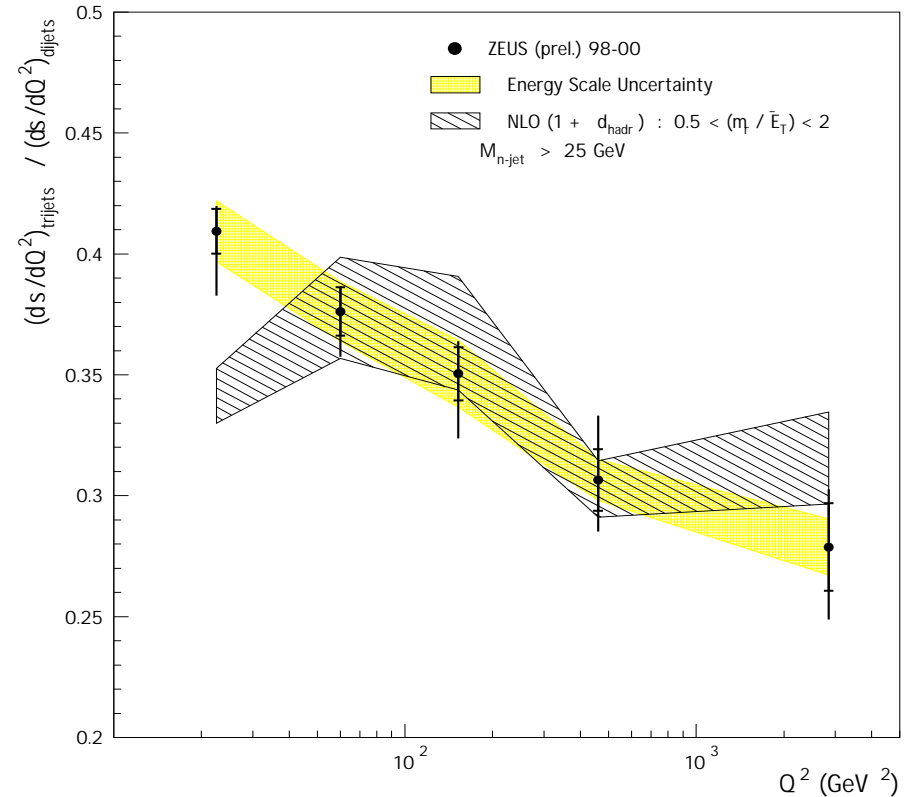
- Tests understanding of pQCD at higher orders
 - three jet production is $\mathcal{O}(\alpha_s^2)$ at Leading Order
- Good agreement between data and NLO calculation (NLOJET)
- In three-to-two jet rate, $R_{3/2}$:
 - systematics partially cancel; reduced dependence on dynamics of jet production
 - reduced uncertainties from proton gluon density and renormalisation scale

Three-Jet Production in NC DIS

ZEUS



ZEUS



Three-Jet Production (ZEUS Data)

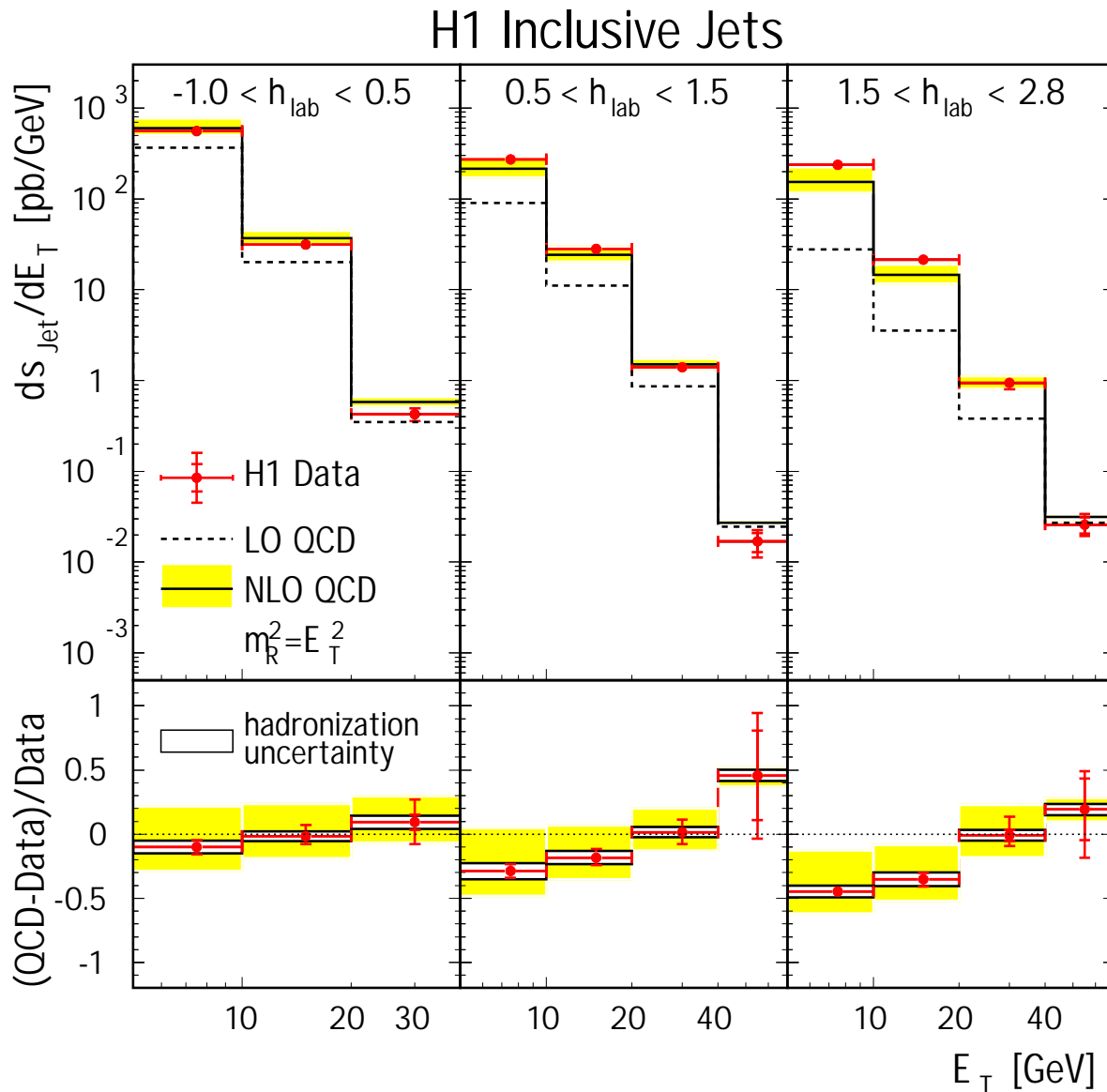
[Abs. 504, EPS03, Aachen, Germany]

- $10 < Q^2 < 5000$ GeV²
- $E_T^{\text{Breit}} > 5$ GeV, $-1 < \eta^{\text{Lab}} < 2.5$
- $m_{3\text{jet}} > 25$ GeV

The ratio (above) is a potentially useful variable to extract α_s

- Dynamics of two and three jet production well described by NLO QCD (NLOJET)

NC Inclusive Jet Production \rightarrow Lower Q^2



Inclusive Production in the Breit Frame (H1 Data)

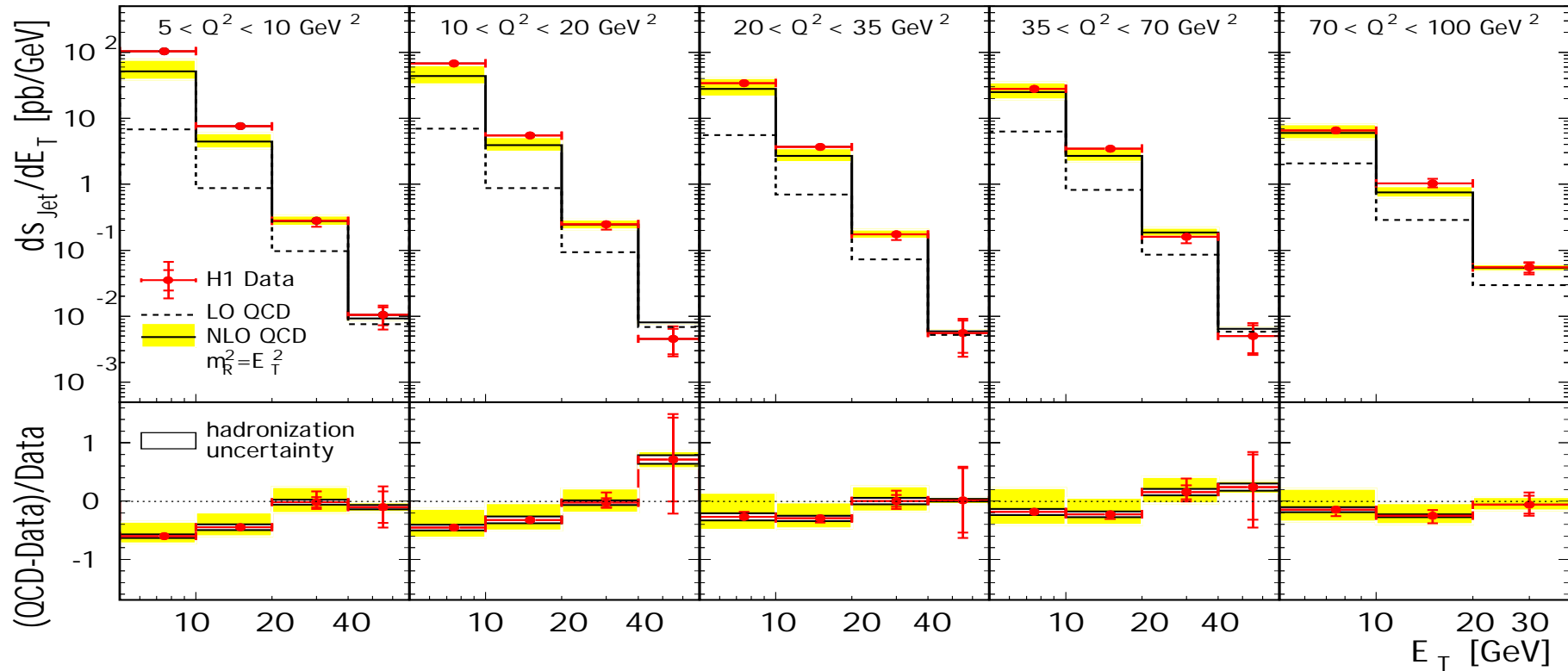
[Phys. Lett. B542 (2002) 193]

- $5 < Q^2 < 100 \text{ GeV}^2$
- $0.2 < y < 0.6$
- $E_T^{\text{Breit}} > 5 \text{ GeV}$

- Good description in the region $-1 < \eta < 0.5$
- NLO is below data at low E_T and forward η^{Lab}
 \rightarrow where NLO corrections and uncertainties (due to higher order terms) are largest

NC Inclusive Jet Production → Forward Region

H1 Inclusive Jets



Forward Region: $1.5 < \eta^{\text{Lab}} < 2.8$ (Look in more detail in this region)

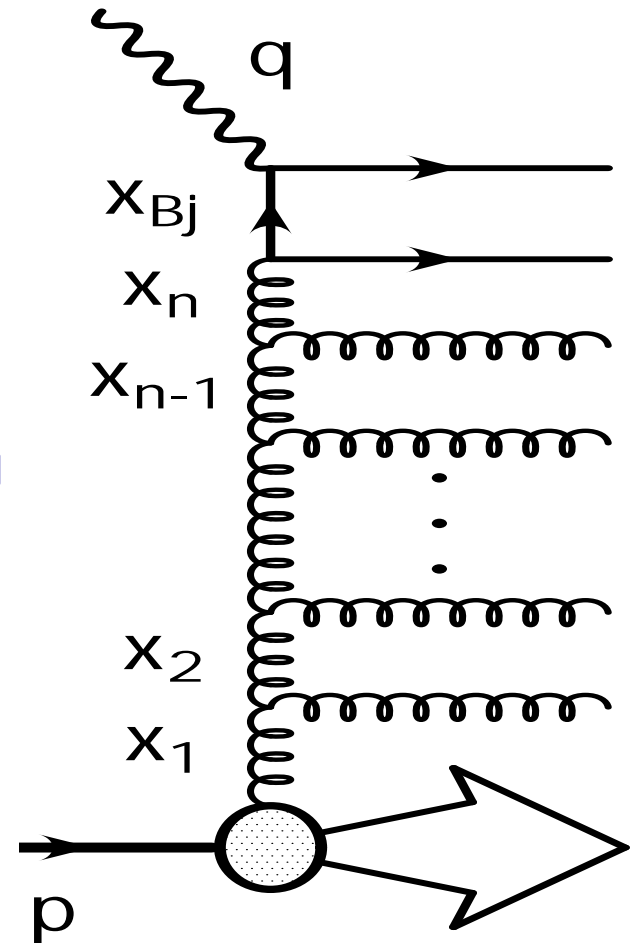
- Discrepancy between data and NLO large at low Q^2 and low E_T
 → improved calculations needed to understand jet production at low Q^2
 e.g. alternative evolution schemes (BFKL, CCFM)? virtual photon structure?

Parton Evolution at Low x

Searching for BFKL induced effects

- DGLAP equations sum the leading powers of $\alpha_s \ln Q^2$ in the region of strongly ordered transverse momenta
 $Q^2 \gg k_{Tn}^2 \gg \dots \gg k_{T2}^2 \gg k_{T1}^2$
- When $\ln Q^2 \ll \ln 1/x$, terms proportional to $\alpha_s \ln 1/x$ become important and need to be summed
 → BFKL equation; integrate over full k_T space of the gluons
 - no k_T ordering
 - allows two highest E_T jets to come from anywhere along the ladder

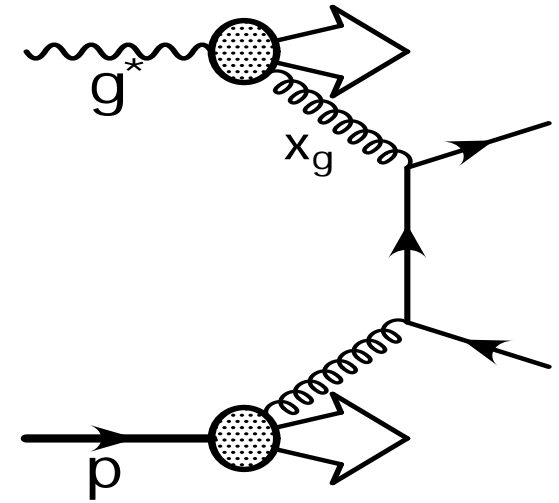
CCFM is another evolution scheme which reduces to DGLAP at high Q^2 and to BFKL at low x



Forward Jets: see talk by S. Magill in “Small-x physics and saturation effects” Working Group

Virtual Photon Structure in Two Jet Production \rightarrow Low Q^2

- In two jet production, at low Q^2 , $E_T^{\text{jet}} \gg Q$
 - \rightarrow large logarithmics $\ln E_T/Q$ can be resummed by defining PDFs for a **virtual photon** in a similar fashion as for the proton
 - \rightarrow photon can interact directly
 - \rightarrow or photon can interact via a parton \rightarrow with some momentum fraction $x_\gamma < 1$
- Possible contribution from longitudinally polarised “resolved” photons
 - \rightarrow vanishes as $Q^2 \rightarrow 0$ and $y \rightarrow 1$
- Virtual photon PDF parameterisations:
 - \rightarrow interpolate between:
 - leading log part of real photon PDFs ($\ln E_T/\Lambda_{\text{QCD}}$)
 - asymptotic behaviour predicted by pQCD ($\ln E_T/Q$)
 - \rightarrow parameterisations: Drees & Godbole, Schuler & Sjöstrand, Glück et al.



Observable representing the long. photon momentum fraction participating in the hard scatter:

$$x_\gamma^{\text{OBS}} = \frac{\sum_{i=1}^2 E_T^{\text{jet } i} \exp(-\eta^{\text{jet } i})}{2yE_e}$$

$\rightarrow x_\gamma^{\text{OBS}} < 0.75$ is resolved enhanced

$\rightarrow x_\gamma^{\text{OBS}} > 0.75$ direct enhanced

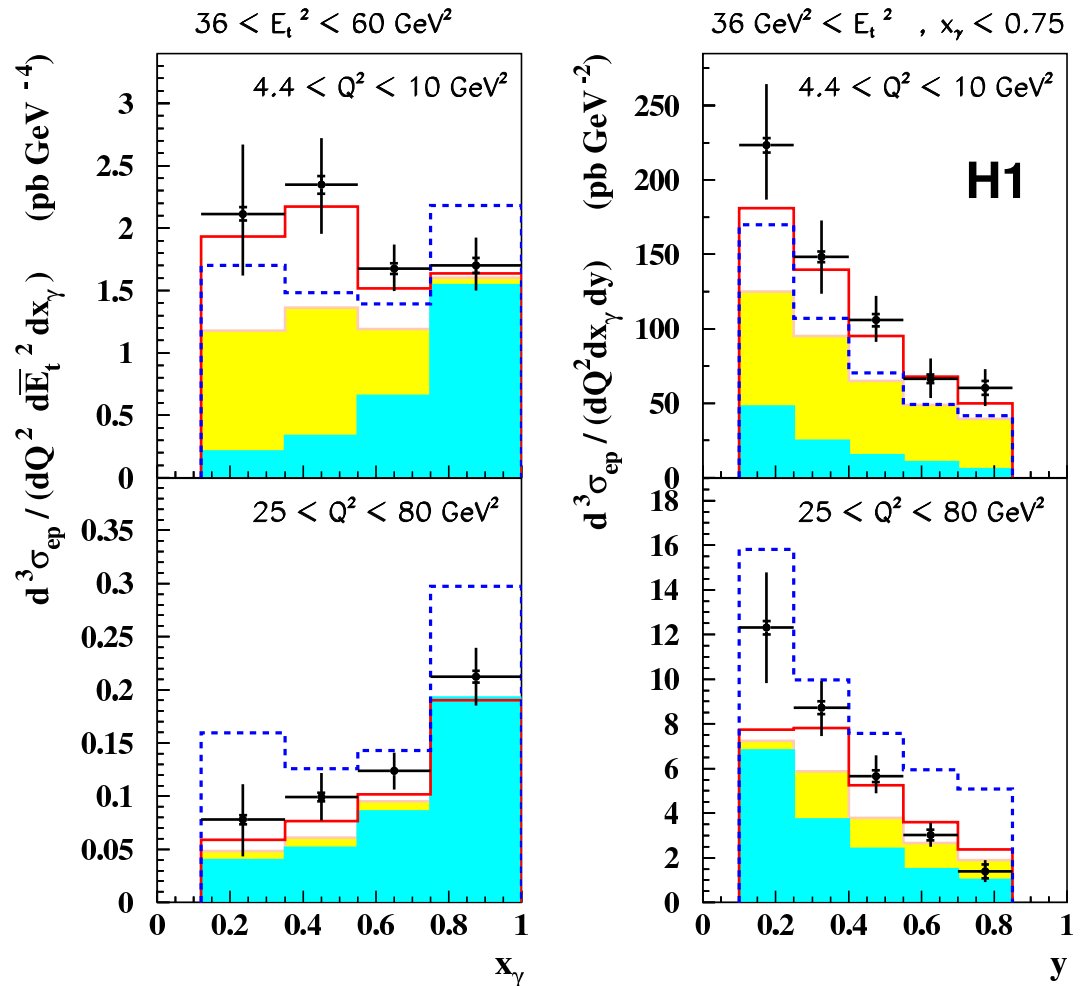
Virtual Photon Structure

Virtual Photon Structure (H1 Data) (in γ^*p centre-of-mass system)

[Abs. 085, EPS03, Aachen, Germany]

- $2 < Q^2 < 80 \text{ GeV}^2$, $0.1 < y < 0.85$
- $E_T^{\text{jet}1,2} > 5$, $\bar{E}_T > 6 \text{ GeV}$
- $-2.5 < \eta^{\text{jet}1,2}(\gamma^*p \text{ CMS}) < 0$
- As Q^2 increases at fixed \bar{E}_T the $x_\gamma < 0.75$ cross section (“resolved” photon) decreases
- Models incorporating:
 - only “direct” photon contribution
 - trans. polarised “resolved” photon
 - long. polarised “resolved” photon
 suggest “resolved” component necessary at low Q^2 ; long. polarised photon improves description further
- Cascade (CCFM) is too high
- Need NLO comparison

- *H1 Preliminary*
- *Herwig dir*
- *Herwig dir+res_T+res_L*
- *Herwig res_T*
- *Cascade*



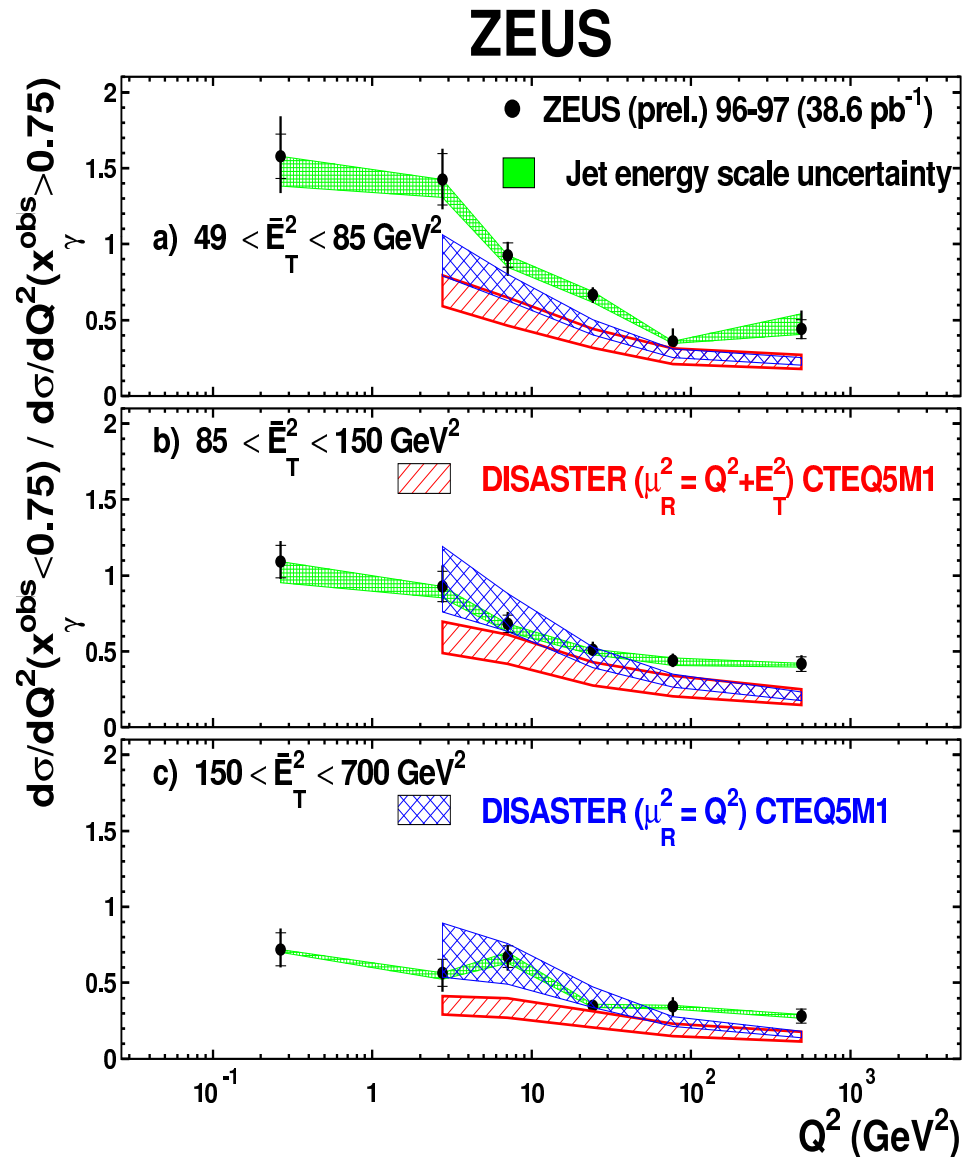
Virtual Photon Structure

- NLO DIS (DISASTER):
 - contains no resolved photon
 - better agreement with NLO DIS with $\mu_R^2 = Q^2$ BUT still too low at low \bar{E}_T^2
- Would expect larger resolved fraction when including resolved virtual photon
- Need additional/improved calculations ...

Virtual Photon Structure (ZEUS Data)

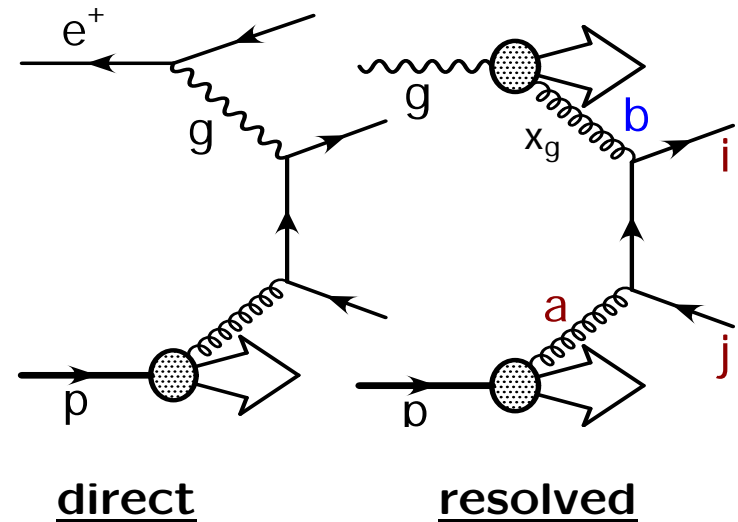
[Abs. 585, EPS03, Aachen, Germany]

- $0.1 < Q^2 < 2000 \text{ GeV}^2$, $0.1 < y < 0.55$
- $E_T^{\text{jet}1,2} > 7.5, 6.5$
- $-3 < \eta^{\text{jet}1,2}(\gamma^*p \text{ CMS}) < 0$



The Photoproduction Regime $Q^2 \sim 0 \text{ GeV}^2$

- Exchanged photon has very low virtuality
 → perturbatively calculable if $E_T (\gg \Lambda_{\text{QCD}})$
 of the jets taken as the hard scale
- At $\mathcal{O}(\alpha\alpha_s)$ two processes contribute:
 → direct (photon interacts directly)
 → resolved (parton from photon interacts)



- Jet cross section:

$$d\sigma^{\text{res}}(\gamma p \rightarrow ij) = \sum_{ab} \int_y dy f_{e \rightarrow \gamma}(y) \int_{x_p} dx_p f_{p \rightarrow a}(x_p, \mu_{F_p}^2) \int_{x_\gamma} dx_\gamma f_{\gamma \rightarrow b}(x_\gamma, \mu_{F_\gamma}^2) d\hat{\sigma}(ab \rightarrow ij)$$

longitudinal momentum fraction of γ/e^+ (y), parton b/γ (x_γ), parton a/p (x_p)

- $d\hat{\sigma}(ab \rightarrow ij)$: sub-process cross section (short-distance, calculable in pQCD)
- $f_{e \rightarrow \gamma}(y)$: photon flux (Weizacker-Williams Approximation)
- $f_{p \rightarrow a}(x_p, t)$: proton PDF
- $f_{\gamma \rightarrow b}(x_\gamma, t)$: photon PDF

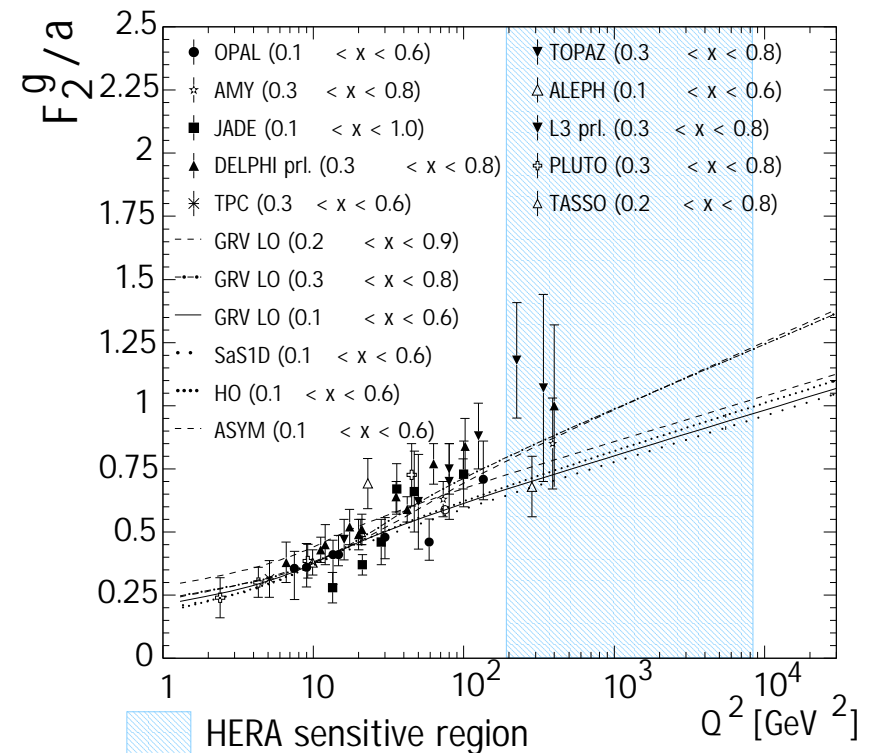
(In direct case $\int_{x_\gamma} f_{\gamma \rightarrow b}(x_\gamma, t)$ is a delta function at $x_\gamma = 1$)

Photoproduction and Photon Structure

- Measurements of jets in photoproduction provide:
 - tests of NLO QCD predictions based on current PDF parameterisations
 - constraints on proton and photon PDFs where pQCD expected to be valid

Information on photon structure:

- Photon structure determined from fits to F_2^γ in $e\text{-}\gamma$ DIS at e^+e^- colliders
 - only sensitive to quark distribution at LO
 - ⇒ gluon density is poorly constrained
- LO cross sections in photoproduction sensitive to both quark and gluon densities
- Larger scales are reachable at HERA
 - $(\mu_{F_2^\gamma} \sim E_{T,\text{jet}}^2 \approx (200 - 10^4) \text{ GeV}^2)$



NLO QCD Calculations for Jets in Photoproduction

Several NLO ($\mathcal{O}(\alpha\alpha_s^2)$) programs are available (phase space slicing method, subtraction methods)

→ Klasen & Kramer, Harris & Owens, Frixione & Ridolfi, Aurenche et al.

- Longitudinally invariant k_T cluster algorithm in the $\eta - \phi$ plane of the lab. frame
→ asymmetric dijet E_T cuts, cut on invariant mass (m_{JJ})
- Jet photoproduction has one hard scale E_T ($Q^2 \approx 0$)
→ renormalisation and factorisation scales $\mu_R, \mu_F = E_T$
- Available PDF parameterisations (NLO fits)
→ Proton: CTEQ5M, CTEQ5HJ, MRST99
→ Photon: GRV-HO, AFG-HO
- Calculations at parton level \Rightarrow corrected for hadronisation effects
→ usually using HERWIG, PYTHIA or PHOJET
- Effects of underlying event estimated using HERWIG (soft underlying event or multi-parton interactions if used in conjunction with Jimmy), PYTHIA (multi-parton interactions), PHOJET (dual topological unitarization)
→ reduced sensitivity at high jet transverse energy

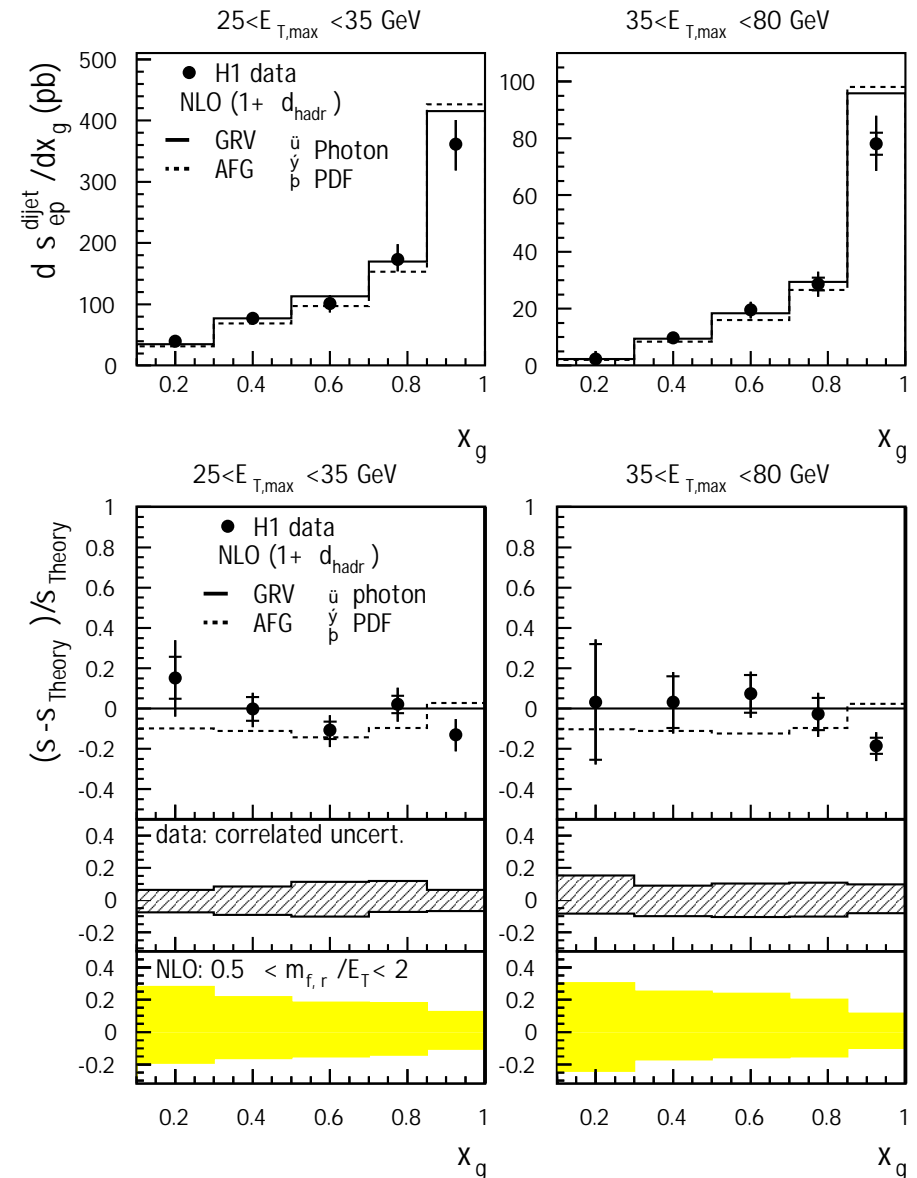
Dijet Cross Sections in Photoproduction

Dijet Production in Photoproduction (H1 Data)

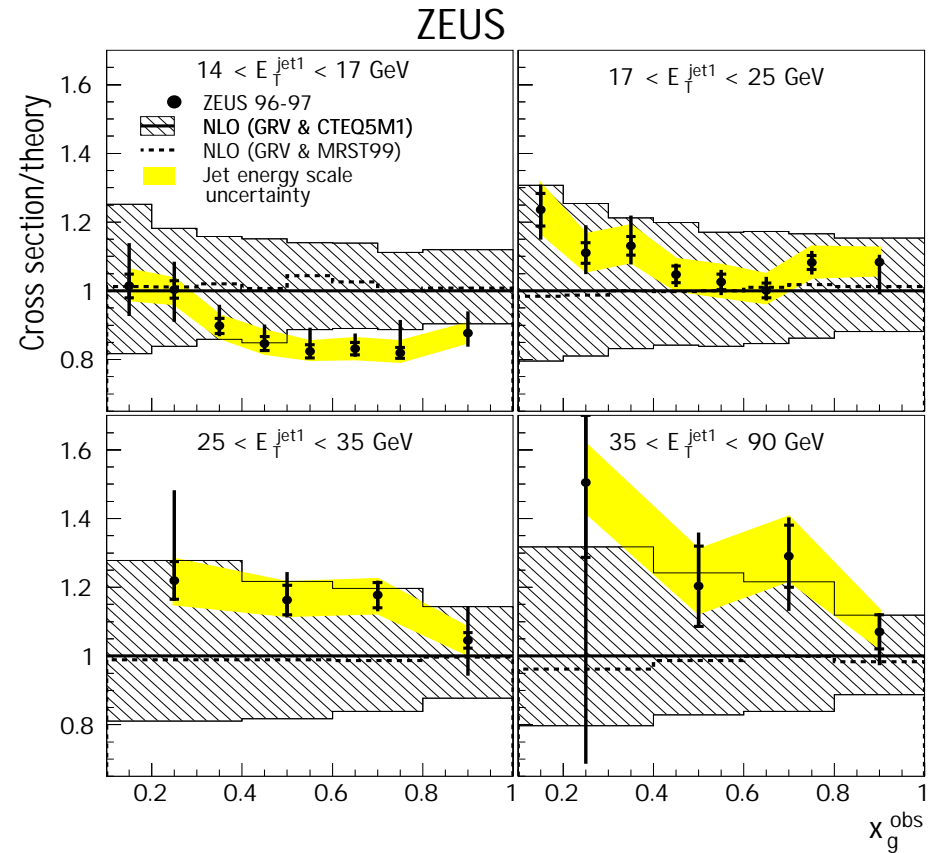
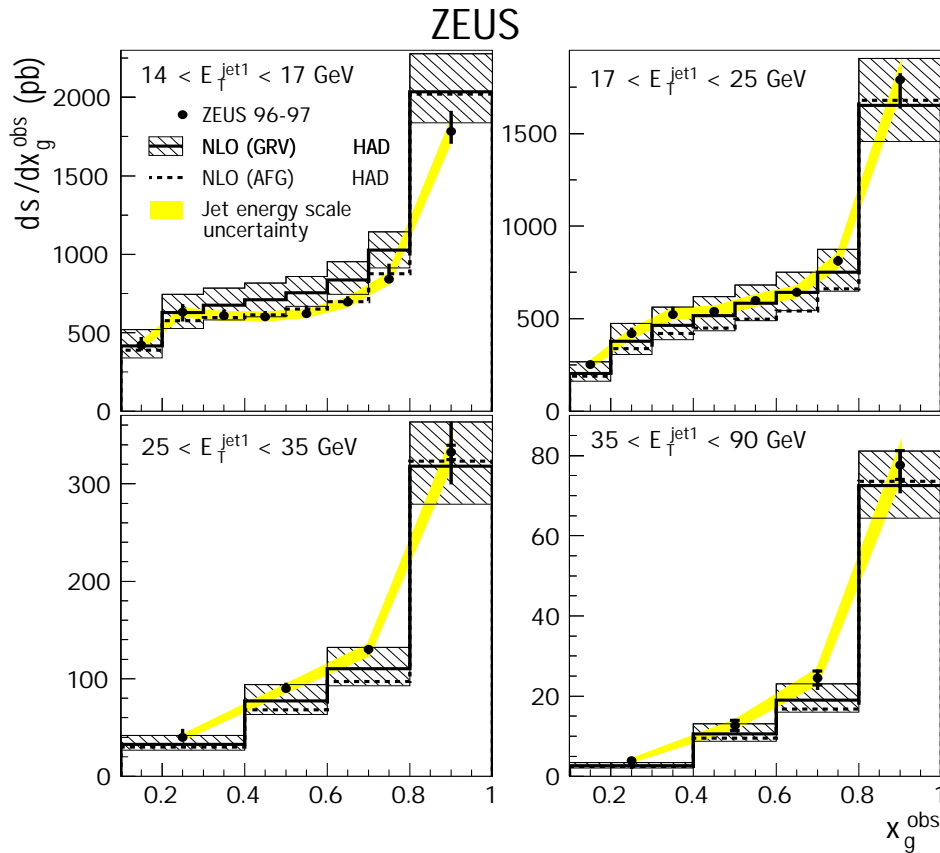
[Eur. Phys. J. C25 (2002) 1, 13]

- $Q^2 < 1 \text{ GeV}^2$, $0.1 < y < 0.9$
- $E_T^{1,2} > 25, 15 \text{ GeV}$, $-0.5 < \eta^{1,2} < 2.5$

- NLO describes the data well
 - largest uncertainties:
 - terms beyond NLO (10-20%)
 - PDFs (1-15%)
- Differences due to choice of photon PDF is smaller than NLO uncertainty due to higher orders and correlated exp. uncertainties (jet energy scale)
- To constrain photon PDFs further
 - reduction of experimental uncertainties
 - need improved calculations



Dijet Photoproduction and Photon Structure



Dijet Production in Photoproduction (ZEUS Data)

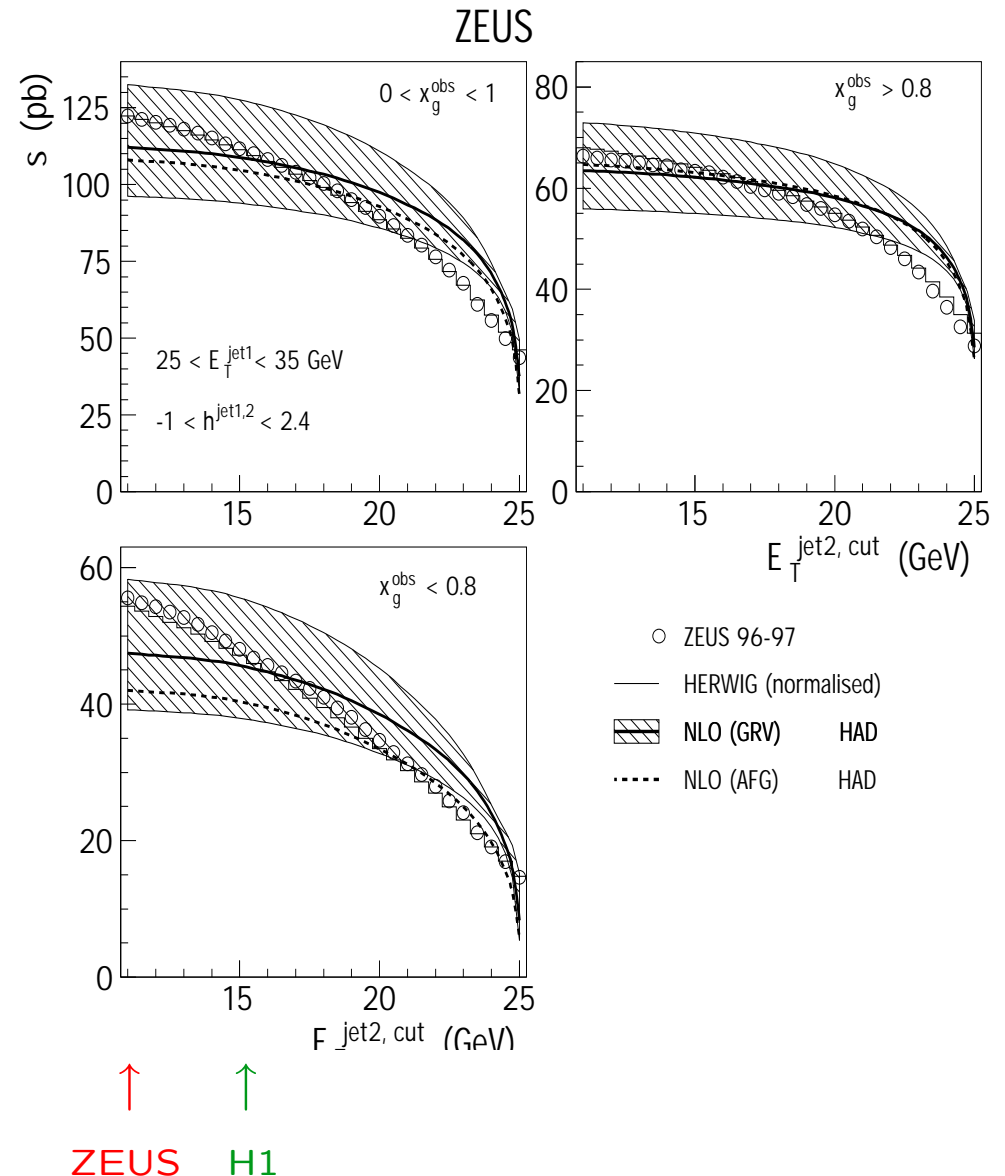
[Eur. Phys. J. C23 (2002) 4, 615]

- $Q^2 < 1 \text{ GeV}^2$, $0.2 < y < 0.85$
- $E_{\uparrow}^{1,2} > 14, 11 \text{ GeV}$, $-1 < \eta^{1,2} < 2.4$

- Small experimental uncertainties (jet energy scale known to 1%)
- Data lies above NLO prediction at low $x_\gamma \rightarrow$ contradicts H1 ?

Dependence on Cut on Second Jet

- H1 and ZEUS cuts differ:
 - H1: $E_T^2 > 15 \text{ GeV}$
 - ZEUS: $E_T^2 > 11 \text{ GeV}$
- HERWIG reproduces dependence
- Dependence of cross section not reproduced by NLO calculation
 - comparison of data versus NLO appears to depend on cut value
 - accounted for by large renormalisation uncertainties (just!)
- Improved theoretical understanding required
 - higher order corrections ?
 - resummed calculations ?
 - improved jet selection ?



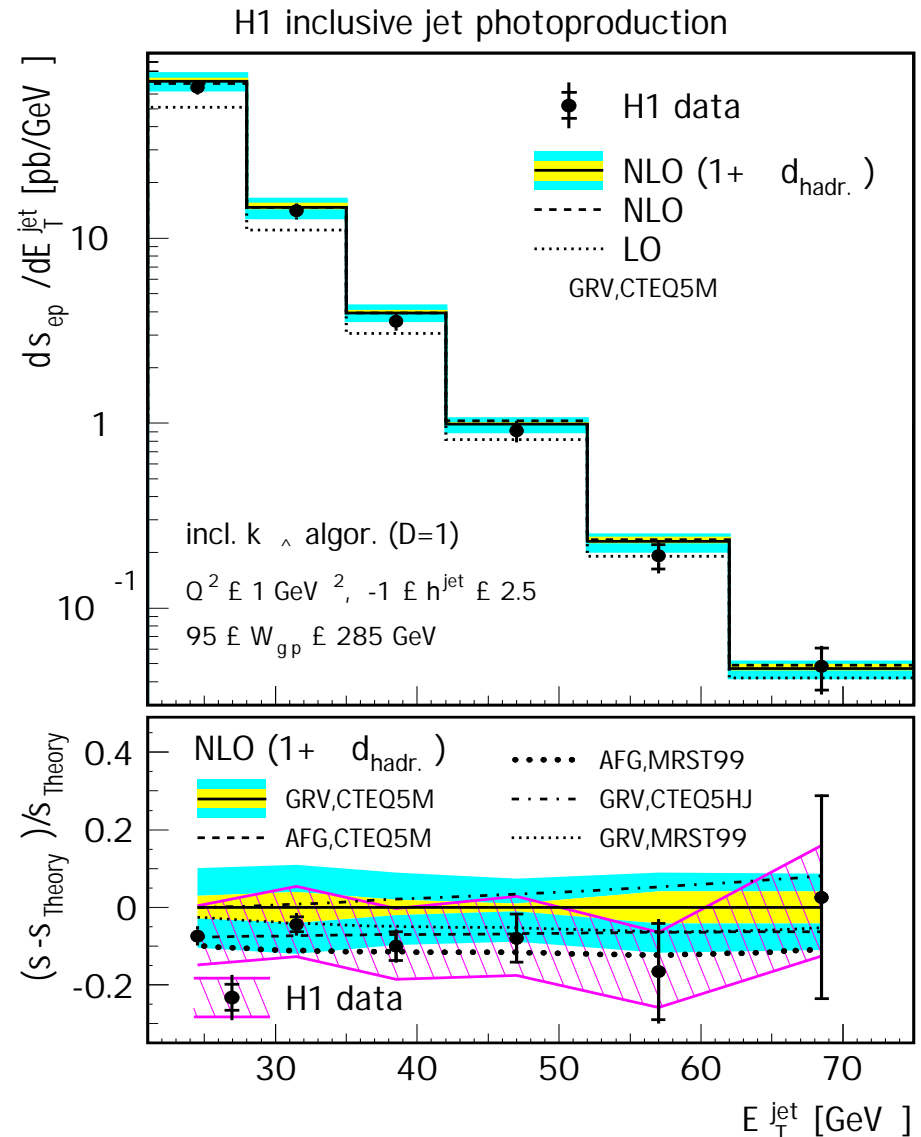
Inclusive Cross Sections in Photoproduction

Inclusive Cross Sections in Photoproduction (H1 Data)

[hep-ex/0302034]

- $Q^2 < 1 \text{ GeV}^2$, $95 < W_{\gamma p} < 285$
- $E_T > 21 \text{ GeV}$, $-1 < \eta < 2.5$

- No restriction on phase space of second jet
 - reduced theoretical uncertainties
 - more reliable QCD predictions
 - BUT less complete information on event kinematics
- Reasonable description by NLO QCD
 - differences between different PDFs within uncertainties



Inclusive Cross Sections in Photoproduction and α_s

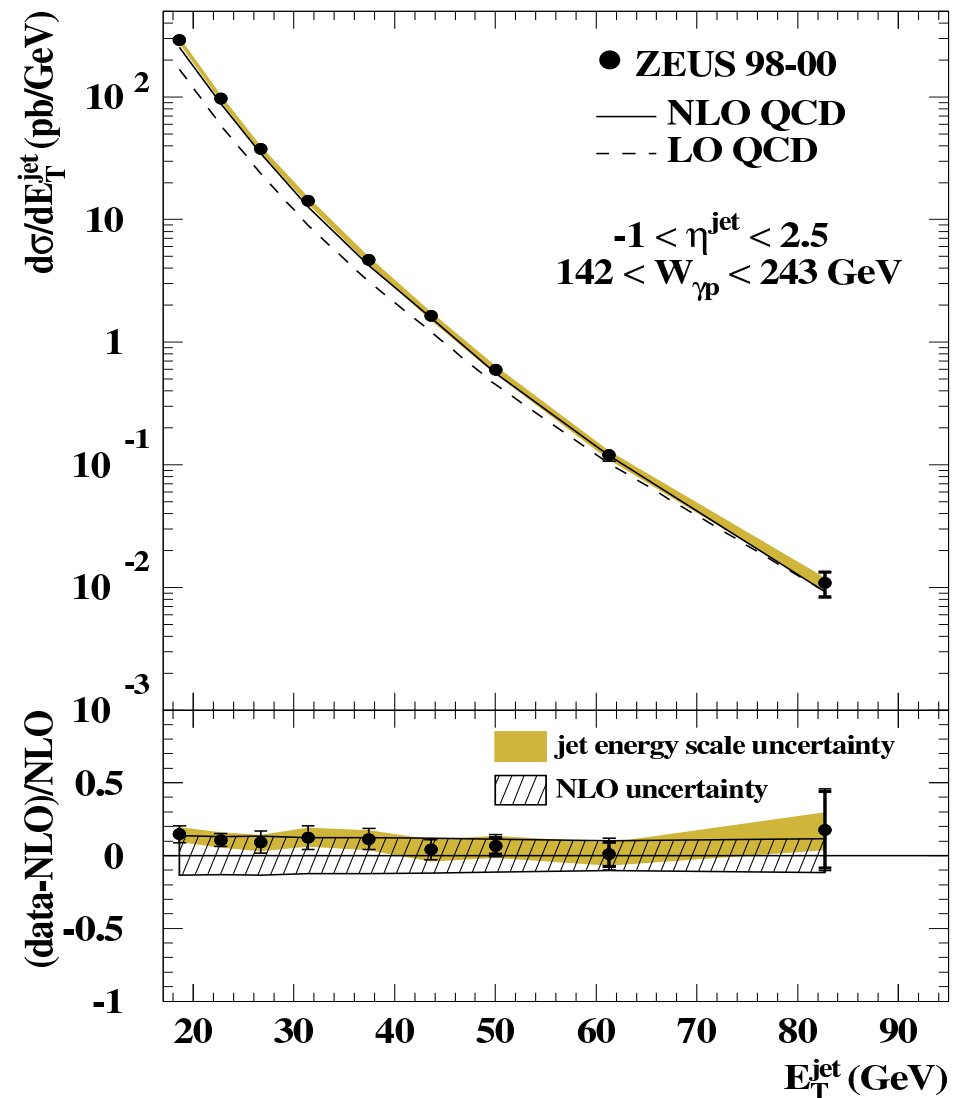
Inclusive Cross Sections in Photoproduction (ZEUS Data)

[Phys. Lett. B560 (2003) 7]

- $Q^2 \leq 1 \text{ GeV}$, $0.2 < y < 0.85$
- $E_T > 17 \text{ GeV}$, $-1 < \eta < 2.5$

- Small theoretical uncertainties
- Good agreement with NLO over many orders of mag.
→ Determination of α_s

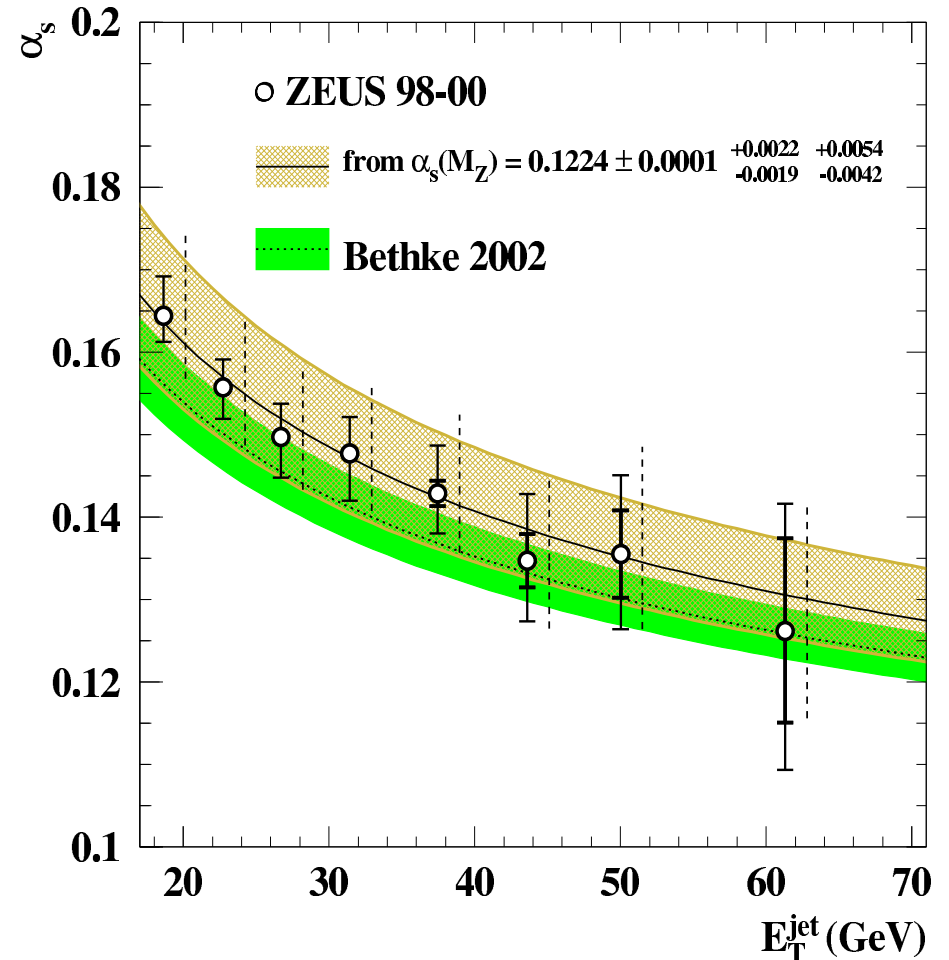
ZEUS



Extraction of α_s

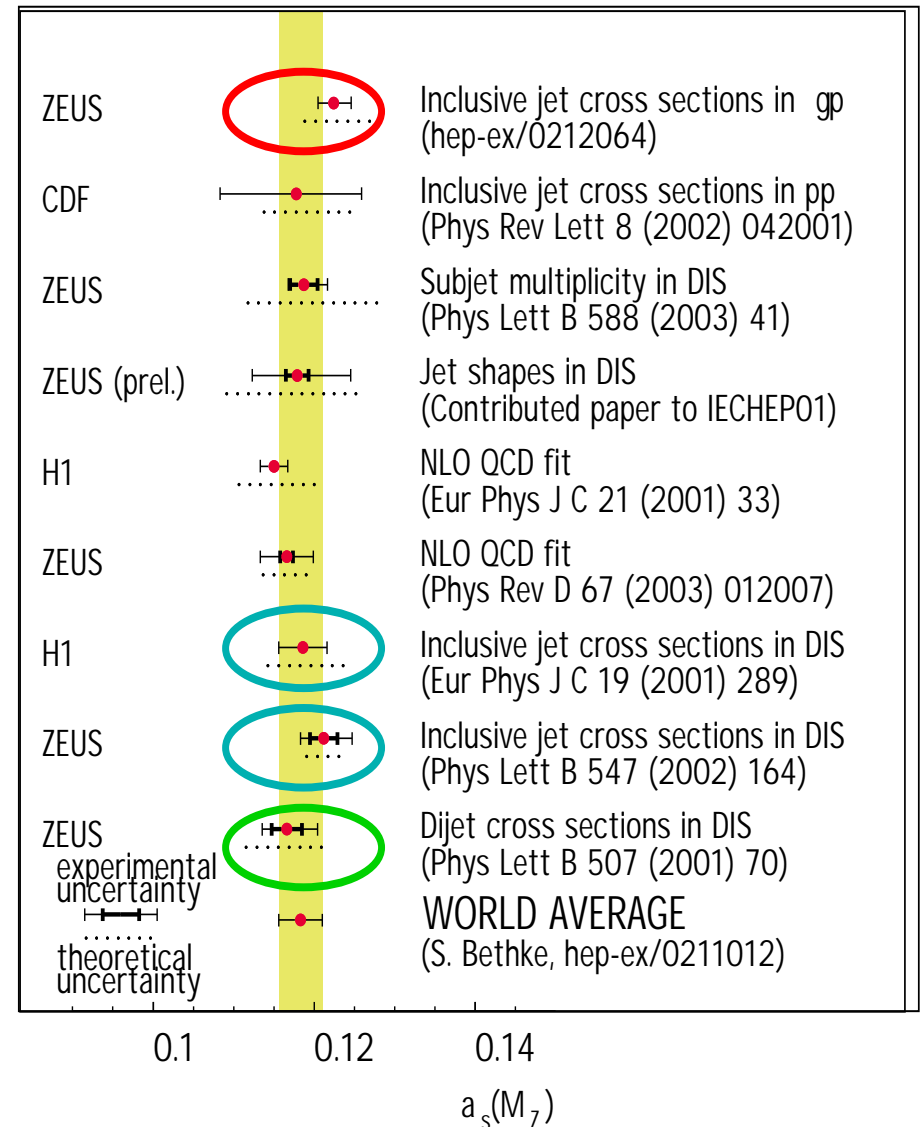
- α_s extracted in each E_T bin using MRST99 PDF sets
 - first extraction in photoproduction!
 - running clearly observed
 - at Z^0 mass:
 - $\alpha_s(M_Z) = 0.1224 \pm 0.0001(\text{stat.})$
 $+0.0022$ (exp.) $+0.0054$ (theory)
 -0.0019 (exp.) -0.0042 (theory)
 - consistent with recent fit of Bethke
 - theory error still dominates

ZEUS



Comparison with Other Measurements

- All values consistent with other recent measurements and Bethke world average
- Competitively small experimental uncertainties



Summary and Outlook

- HERA is producing a wealth of precision jet data in DIS, transition region and photoproduction
- Many extractions of α_s with competitive precision
→ running behaviour clearly observed
- At high Q^2 and jet transverse energy, theoretical uncertainties are small and pQCD is able to reproduce cross sections over many orders of magnitude
- At lower Q^2 (transition region, photoproduction), theoretical uncertainties are dominant
→ new theoretical developments, resummed calculations needed
- Experimental precision and coverage of data is now good
→ include in global PDF fits